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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	520.39349VX1
First Inventor	MAKUUCHI, MASAMI
Title	TESTING APPARATUS OF MAGNETIC RECORDING MEDIUM OR MAGNETIC HEAD
Express Mail Label No.	

**APPLICATION ELEMENTS**  
SEE MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:** Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages: **52**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets: **11**]
5. Oath or Declaration [Total Sheets: **5**]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☐ Copies of IDS Citations  
Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: **CLAIM FOR PRIORITY**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/722,298**

Prior application information: Examiner: **Jay M. Patidar**

Art Unit: **2862**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number	<b>020457</b>	OR <input type="checkbox"/> Correspondence address below
Name	<b>ANTONELLI, TERRY, STOUT &amp; KRAUS, LLP</b>	
Address		
City	State	Zip Code
Country	Telephone	Fax
Name (Print/Type)	<b>Hung H. Bui</b>	Registration No. (Attorney/Agent)
Signature	<i>Hung H. Bui</i>	Date
		<b>December 16, 2003</b>

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

121603

13204 U.S. PTO

31353 U.S. PTO  
10/735909

121603

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**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

**Complete if Known**

Application Number	Not yet assigned Divisional Application of 09/722,298
Filing Date	Even date herewith
First Named Inventor	MAKUUCHI, MASAMI
Examiner Name	Jay M. Patidar (expected)
Art Unit	2862 (expected)
Attorney Docket No.	520.39349VX1

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**770.00****METHOD OF PAYMENT (check all that apply)**☐ Check ☒ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

01-2135

Antonelli, Terry, Stout &amp; Kraus, LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description
1001	2001	770	Utility filing fee
1002	2002	340	Design filing fee
1003	2003	530	Plant filing fee
1004	2004	770	Reissue filing fee
1005	2005	160	Provisional filing fee

**Fee Paid**

770

**SUBTOTAL (1)**

770

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
4	-20** =	x	=
Indep. Claims	2	-3** =	x
Multiple Dependent			=

**Large Entity Small Entity**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description
1202	2202	18	Claims in excess of 20
1201	2201	86	Independent claims in excess of 3
1203	2203	290	Multiple dependent claim, if not paid
1204	2204	86	** Reissue independent claims over original patent
1205	2205	18	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$**

\*\*or number previously paid, if greater; For Reissues, see above.

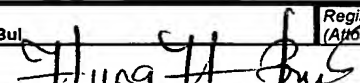
**FEE CALCULATION (continued)****3. ADDITIONAL FEES****Large Entity Small Entity**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description
1051	2051	130	Surcharge - late filing fee or oath
1052	2052	50	Surcharge - late provisional filing fee or cover sheet
1053	2053	130	Non-English specification
1812	2520	1812	2,520 For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920* Requesting publication of SIR prior to Examination action
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action
1251	2251	110	55 Extension for reply within first month
1252	2252	420	210 Extension for reply within second month
1253	2253	950	475 Extension for reply within third month
1254	2254	1,480	740 Extension for reply within fourth month
1255	2255	2,010	1,005 Extension for reply within fifth month
1401	2401	330	165 Notice of Appeal
1402	2402	330	165 Filing a brief in support of an appeal
1403	2403	290	145 Request for oral hearing
1451	2451	1,510	1,510 Petition to institute a public use proceeding
1452	2452	110	55 Petition to revive - unavoidable
1453	2453	1,330	665 Petition to revive - unintentional
1501	2501	1,330	665 Utility issue fee (or reissue)
1502	2502	480	240 Design issue fee
1503	2503	640	320 Plant issue fee
1406	2406	130	130 Petitions to the Commissioner
1807	2807	50	50 Processing fee under 37 CFR 1.17(q)
1806	2806	180	180 Submission of Information Disclosure Stmt
8021	8021	40	40 Recording each patent assignment per property (times number of properties)
1809	2809	770	385 Filing a submission after final rejection (37 CFR § 1.129(a))
1810	2810	770	385 For each additional invention to be examined (37 CFR § 1.129(b))
1801	2801	770	385 Request for Continued Examination (RCE)
1802	2802	900	900 Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)****SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Hung H. Bul	Registration No. (Attorney/Agent)	40,415	Telephone	703-312-6600
Signature		Date	12/16/2003		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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